

JC715 U.S. PTO
10/22/01

Attorney Docket No.: C1040/7010 (HCL/MAT)

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	C1040/7010 (HCL/MAT)
First Named Inventor or Application Identifier	
DAVIS, et al.	
Express Mail Label No.	EL583585920US
Date of Deposit	January 22, 2001

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

<p>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total pages = 90] 70 - pages description 1 - pages abstract 19 - pages claims 153 - Total claims</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets = 14] <input checked="" type="checkbox"/> Informal <input type="checkbox"/> Formal [Total drawings = 14]</p> <p>4. <input type="checkbox"/> Oath or Declaration [Total pages] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Copy b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above</p>
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ACCOMPANYING APPLICATION PARTS

8. Assignment Papers/cover sheet &
documents(s)

9. 37 CFR 3.73(b) Statement
(when there is an assignee)
 Power of Attorney

10. English Translation of Document (if applicable)

11. Information Disclosure Statement PTO-1449
 Copies of IDS Citations

12. Preliminary Amendment

13. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. Small Entity Statement(s)
 Statement filed in prior application, Status
still proper and desired

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No.:

Cancel in this application original claims of the prior application before calculating the filing fee.

Amend the specification by inserting before the first line the sentence:

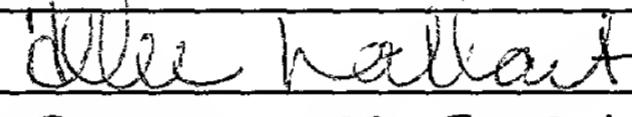
This application is a continuation divisional of application serial no. , filed , entitled , and now .

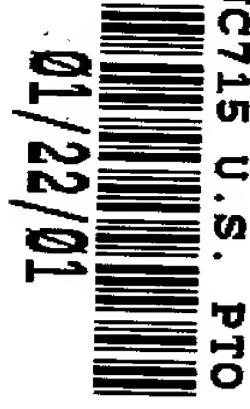
18. CORRESPONDENCE ADDRESS

Correspondence address below

ATTORNEY'S NAME	Helen C. Lockhart, Reg. No. 39,248				
NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Helen C. Lockhart, Reg. No. 39,248
SIGNATURE	
DATE	January 22 2001



01-24-01

ATTORNEY'S DOCKET NO: C1040/7010 (HCL/MAT)

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Box seq
jc808 U.S. PTO
09/168012
01/22/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Heather L. Davis and Michael J. McCluskie
Serial No: not yet assigned
Filed: Herewith
For: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR INDUCING A TH2
IMMUNE RESPONSE
Examiner: Not yet assigned
Art Unit: Not yet assigned
Express Mail No.: EL583585920US

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10(a)

I hereby certify that this document is being placed in the United States mail as Express Mail No. EL583585920US, postage attached, addressed to Box Patent Application: Commissioner for Patents, Washington, D.C. 20231 on the 22nd day of January, 2001.

Maryanne Trevisan

Commissioner for Patents
Washington, D.C. 20231
Attention: Box Patent Application

Sir: **TRANSMITTAL**

Transmitted herewith are the following documents:

- Utility Patent Application Transmittal**
- Specification (Total Pages 90)**
(70 pages of Description, 1 page of Abstract, 19 pages of Claims (153 claims),
- Drawings (Total Pages 14) (Figs. 1-14)**
- Statement Under 37 C.F.R. §1.821(f)**
- Paper Sequence Listing (2 pages)**
- 3.5" Computer Disk**
- Return Receipt Postcard**

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Respectfully Submitted,

Helen C. Lockhart (Reg. No. 39,248)

Wolf, Greenfield & Sacks, P.C.

Federal Reserve Plaza, 600 Atlantic Avenue

Boston, MA 02210-2211

(617)720-3500

Attorneys for Applicants

Attorney Docket No.: C1040/7010 (HCL/MAT)

Date: January 22, 2001

x01/22/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Heather L. Davis and Michael J. McCluskie
SERIAL NO. : Not Yet Assigned
EXPRESS MAIL NO.: EL583585920US
FILED : Herewith
FOR : IMMUNOSTIMULATORY NUCLEIC ACIDS FOR INDUCING
A TH2 IMMUNE RESPONSE

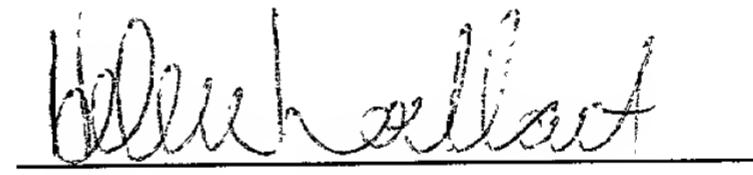
Commissioner for Patents
Washington, D.C. 20231
BOX PATENT APPLICATION

STATEMENT UNDER 37 C.F.R. §1.821(f)

Sir:

This statement is made pursuant to 37 CFR 1.821(f). Applicant encloses herewith an original copy of the Sequence Listing and a computer readable diskette. Applicant's agent states that the content of the paper copy of the Sequence Listing and the computer readable diskette contain the identical information and contain no new matter.

Respectfully submitted,



Helen C. Lockhart
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600 Atlantic Avenue
Boston, Massachusetts 02210
Tel.: 617-720-3500

DATE: January 22, 2001
Attorney's Docket No.: C1040/7010 (HCL/MAT)
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